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02-06-01

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

Utility
PATENT APPLICATION
TRANSMITTAL
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No 4707-81342

First Inventor Robert BERNSTEIN

Title Method and Apparatus for Identifying Customers for Delivery of Promotional Materials

Express Mail Label No. EL617902155US

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. *Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

Total Pages

15

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 a. Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. CD-ROM or CD-R (2 copies); or
 ii. paper
 c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
 10. 37. C.F.R. § 3.73(b) Statement (when there is an assignee) Power of Attorney
 11. English Translation Document (if applicable)
 12. Information Disclosure Statement (IDS) PTO-1449 Copies of IDS Citations
 13. Preliminary Amendment
 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
 16. Other _____

- Drawing(s) (35 U.S.C. 113)

Total Sheets

2

Oath or Declaration

Total Pages

2

- a. Newly executed (original or copy)

- b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)

i. DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).

- Application Data Sheet. See 37 CFR 1.76

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-In-Part (CIP) Of prior application No.: _____ / _____

Prior application information: Examiner _____

Group/Art Unit: _____

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<i>(Insert Customer No. or Attach bar code label here)</i>			or	<input checked="" type="checkbox"/> Correspondence address below
Name	Jon P. Christensen WELSH & KATZ, LTD.				
Address	120 South Riverside Plaza 22nd Floor				
City	Chicago	State	Illinois	Zip Code	60606
Country	U.S.A.	Telephone	(312) 655-1500	Fax	(312) 655-1501
Name Print/Type)	Jon P. Christensen		Registration No. (Attorney/Agent)	34,137	
Signature			Date	February 5, 2001	

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FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 400.00)

Complete if Known

Application Number	
Filing Date	concurrently herewith
First Named Inventor	Robert BERNSTEIN
Examiner Name	
Group/Art Unit	
Attorney Docket Number	4707-81342

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FEE CALCULATION

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 18	201 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	**Reissue independent claims over original patent	
110 18	210 9	**Reissue claims in excess of 20 and over original patent	

SUBMITTED BY

Name (Print/type)

Signature

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge-late filing fee or oath	
127 50	227 25	Surcharge-late provisional filing fee or cover sheet	
139 130	139 130	Non-English Specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,			

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Date of Deposit: February 5, 2001

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